100TH CONGRESS 2D SESSION

## H. RES. 526

To amend the Rules of the House of Representatives to establish a Public Review Board and an Inspector General, and for other purposes.

## IN THE HOUSE OF REPRESENTATIVES

August 11, 1988

Mr. DioGuardi (for himself and Mr. Frank) submitted the following resolution; which was referred to the Committee on Rules

## RESOLUTION

To amend the Rules of the House of Representatives to establish a Public Review Board and an Inspector General, and for other purposes.

- 1 Resolved,
- 2 section 1. Public review board of the house of
- 3 REPRESENTATIVES.
- 4 The Rules of the House of Representatives are amended
- 5 by inserting at the end thereof the following new rule:
- 6 "Rule LI.
- 7 "PUBLIC REVIEW BOARD.
- 8 "1. There is established a permanent board to be known
- 9 as the Public Review Board (hereinafter in this rule and in
- 10 rule LII referred to as the 'Board').

- 1 "2. (a) The Board shall be composed of 6 members who
- 2 are specially qualified to serve on the Board by virtue of their
- 3 demonstrated integrity, independence, impartiality, and good
- 4 judgment. Three members of the Board shall be nominated
- 5 by the Speaker and 3 by the minority leader and approved by
- 6 vote of the House, at the commencement of each Congress,
- 7 to continue in office until the beginning of the next Congress.
- 8 Any individual serving in this position may be removed by an
- 9 affirmative vote of not less than two-thirds of the Members of
- 10 the House present and voting, a quorum being present. A
- 11 vacancy shall be filled in the manner in which the original
- 12 appointment was made. No member may serve on the Board
- 13 for more than 10 years.
- 14 "(b)(1) Not more than 3 members of the Board shall be
- 15 of the same political party. No member of the Board shall be
- 16 or have ever been a Member of Congress, nor shall any
- 17 Member of the Board be a relative of any Member of Con-
- 18 gress, or an officer or employee of any government, or a
- 19 person registered under the Federal Regulation of Lobbying
- 20 Act or the Foreign Agents Registration Act of 1938 or have
- 21 been any such individual during the 5-year period ending on
- 22 the date such member's term on the Board would have
- 23 begun.
- 24 "(2) If any member of the Board becomes a Member of
- 25 Congress or relative of a Member of Congress, an officer or

- 1 employee of any government, or registered under any law
- 2 described in paragraph (1), he may not continue as a member
- 3 of the Board.
- 4 "(3) As used in this paragraph, the term 'relative'
- 5 means a father, mother, son, daughter, brother, sister, uncle,
- 6 aunt, first cousin, nephew, niece, spouse, father-in-law,
- 7 mother-in-law, son-in-law, daughter-in-law, brother-in-law,
- 8 sister-in-law, stepfather, stepmother, stepson, stepdaughter,
- 9 stepbrother, stepsister, half brother, or half sister.
- 10 "(c) Members of the Board shall be compensated at the
- 11 rate of basic pay payable for level V of the Executive
- 12 Schedule.
- 13 "(d) The chairperson of the Board shall be elected by
- 14 the members of the Board.
- "(e) The Board shall meet at the call of the chairperson
- 16 or a majority of its members. Four members of the Board
- 17 shall constitute a quorum, but two or more may hold hearings
- 18 (if they are not all members of the same political party).
- 19 "3. The Board shall have a Director who shall be elect-
- 20 ed by the Board who shall be paid at the rate of basic pay
- 21 payable for level V of the Executive Schedule. The Director
- 22 may appoint and fix the pay of such additional personnel as
- 23 the Director considers appropriate.
- 24 "4. (a)(1) The Board is authorized—

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"(A) to recommend to the Committee on Standards of Official Conduct from time to time such administrative actions as it may deem appropriate to establish or enforce standards of official conduct for Members, officers, and employees of the House;

"(B) to investigate, subject to subparagraph (2) of this paragraph, any alleged violation, by a Member, officer, or employee of the House, of the Code of Official Conduct or of any law, rule, regulation, or other standard of conduct applicable to the conduct of such Member, officer, or employee in the performance of his duties or the discharge of his responsibilities, and after notice and hearing, to submit to the Committee on Standards of Official Conduct a written recommendation of such action as the Board may deem appropriate in the circumstances; and

"(C) to report to the appropriate Federal or State authorities, with the approval of the House, any substantial evidence of a violation, by a Member, officer, or employee of the House, of any law applicable to the performance of his duties or the discharge of his responsibilities, which may have been disclosed in an investigation by the Board or the Inspector General; and "(2)(A) No report or recommendation relating to the of-

ficial conduct of a Member, officer, or employee of the House

- 1 shall be made by the Board, and no investigation of such
- 2 conduct shall be undertaken by the Board, unless approved
- 3 by the affirmative vote of a majority of the members of the
- 4 Board.
- 5 "(B) Except in the case of an investigation undertaken
- 6 by the Board on its own initiative, the Board may undertake
- 7 an investigation relating to the official conduct of an individ-
- 8 ual Member, officer, or employee of the House of Represent-
- 9 atives only—
- 10 "(i) upon receipt of a complaint, in writing and
- under oath, made by or submitted to a Member of the
- House and transmitted to the Board by such Member,
- 13 "(ii) upon receipt of a complaint, in writing and
- under oath, directly from an individual not a Member
- of the House if the Board finds that such complaint has
- been submitted by such individual to at least one
- 17 Member of the House who has refused, in writing, to
- 18 transmit such complaint to the Board, or
- 19 "(iii) upon receipt of a written complaint made by
- 20 the Inspector General.
- 21 "(C) No investigation shall be undertaken by the Board
- 22 of any alleged violation of a law, rule, regulation, or standard
- 23 of conduct not in effect at the time of the alleged violation.
- "(D) No information or testimony received, or the con-
- 25 tents of a complaint or the fact of its filing, shall be publicly

1 disclosed by any Board or staff member unless specifically authorized in each instance by a majority vote of the entire 3 Board. "5. (a)(1) For the purpose of carrying out any of its functions and duties under this rule, the Board is authorized (subject to subparagraph (2)(A) of this paragraph)— 7 "(A) to sit and act at such times and places within the United States, whether the House is in ses-9 sion, has recessed, or has adjourned, and to hold such 10 hearings, and 11 "(B) to require, by subpoena or otherwise, the at-12tendance and testimony of such witnesses and the pro-13 duction of such books, records, correspondence, memo-14 randums, papers, and documents as it deems necessary. 15 The chairman of the Board, or any member designated 16 by such chairman, may administer oaths to any wit-17 ness. 18 "(2)(A) A subpoena may be authorized and issued 19 by the Board under subparagraph (1)(B) in the conduct 20 of any investigation or series of investigations or activi-21ties, only when authorized by a majority of the mem-22bers voting, a majority being present. The power to 23 authorize and issue subpoenas under subparagraph

(1)(B) may be delegated to the chairman of the Board

pursuant to such rules and under such limitations as

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1	the Board may prescribe. Authorized subpoenas shall
2	be signed by the chairman of the Board or by any
3	member designated by the Board.
<b>4</b> .	"(B) Compliance with any subpoena issued by the
5.	Board under subparagraph (1)(B) may be enforced only
6	as authorized or directed by the House.".
7	SEC. 2. INSPECTOR GENERAL OF THE HOUSE OF REPRESENT.
8	ATIVES.
9	The Rules of the House of Representatives are amended
10	by inserting at the end thereof the following new rule:
11	"RULE LII.
12	"INSPECTOR GENERAL.
13	"1. (a) There is established the position of Inspector
14	General of the House of Representatives.
15	"(b) The Inspector General shall be nominated by the
16	Speaker and the minority leader and approved by vote of the
17	House, at the commencement of each Congress, to continue
18	in office until a successor is nominated and approved. Any
19	individual serving in this position may be removed by an af-
20	firmative vote of not less than two-thirds of the Members of
21	the House present and voting, a quorum being present. A
22	vacancy shall be filled in the manner in which the original
23	appointment was made. No individual may serve as Inspector
24	General for more than 10 years.

,1	"(c) The Inspector General should be a person of appro-
2	priate educational training who has had significant successful
3	experience in developing and managing financial and oper-
4	ational audit programs for large and complex organizations
5	and whose experience would be relevant to the audit man-
6	agement of a legislative organization.
7	"2. The Inspector General shall be paid at the rate of
8	basic pay payable for level V of the Executive Schedule. The
9	Inspector General may appoint and fix the pay of such addi-
10	tional personnel as the Inspector General considers appro-
11	priate.
12	"3. (a) The Inspector General shall have the following
13	functions:
14	"(1) Provide policy direction for, and, randomly
15	and on a periodic basis, to conduct, supervise, and co-
16	ordinate audits and investigations relating to, the finan-
17	cial operations of the House.
18	"(2) Recommend to the House policies for, and to
19	conduct, supervise, and coordinate other activities car-
20	ried out by the House to promote economy and effi-
21	ciency in the administration of, and the prevention and
22	detection of fraud and abuse in, its operations.
23	"(3) Promptly notify the Board of any evidence of
24	fraud or the violation of any law, rule, regulation, or
25	other standard of conduct applicable to the conduct of

1	a Member, officer, or employee of the House in the
2	performance of his duties or the discharge of his
3	responsibilities.
4	"(4) Promptly notify the Board of any evidence of
5	fraud or other serious problem, abuse, or deficiency re-
6	specting the financial operations of the House, recom-
7	mend appropriate corrective action to the Board, and
8	monitor the carrying out of such corrective action.
.9	"(5) Prepare, and have printed as a House docu-
10	ment and made available to the public, a report, to be
11	transmitted by March 1 of each calendar year to the
12	House, setting forth with respect to the immediately
13	preceding calendar year the following information:
14	"(A) A summary of all recommendations and
15	notifications made to the Board under subpara-
16	graphs (3) and (4).
17	"(B) A summary of all recommendations
18	made to the House under subparagraph (2).
19	"(C) An identification of each recommenda-
20	tion contained in a previous annual report which
21	recommendation has not been carried out to the
22	satisfaction of the Inspector General.
23	"(D) A list of all audit reports and investiga-
24	tions referred to in subparagraph (1) which were

1	completed during the calendar year covered by
2	this report.
3	"4. (a) Upon the written request of the Inspector Gener-
4	al, any Member, officer, or committee of the House shall
5	promptly provide the Inspector General access to all books,
6	records, correspondence, memorandums, papers, and docu-
7	ments relating to financial operations of the House.
8	"(b) The Inspector General shall notify the Board when-
9	ever any Member, officer, or committee of the House does
10	not comply with paragraph (a).".
11	SEC. 3. ELIMINATION OF CERTAIN DUTIES OF THE COMMIT-
12	TEE ON STANDARDS OF OFFICIAL CONDUCT.
13	(a) Clause 1(t) of rule X of the Rules of the House of
14	Representatives is amended by striking out "with respect to
15	recommendations, studies, investigations, and reports" and
16	by striking out ", and the functions designated in title I of the
17	Ethics in Government Act of 1978".
18	(b) Clause 4(e) of rule X of the Rules of the House of
19	Representatives is amended to read as follows:
20	"(e)(1) The Committee on Standards of Official Conduct
21	is authorized and directed to—
22	"(A) within 5 legislative days transmit to the
23	House, by resolution or otherwise, any recommenda-
24	tion submitted to the committee by the Board under
25	clause 4(a)(1)(B) of rule LI; and

1	"(B) give consideration to the request of any
2	Member, officer, or employee of the House for an advi-
3	sory opinion with respect to the general propriety of
4	any current or proposed conduct of such Member, offi-
5	cer, or employee and, with appropriate deletions to
6	assure the privacy of the individual concerned, to pub-
7	lish such opinion for the guidance of other Members,
8	officers, and employees of the House.

- 9 "(2) No advisory opinion relating to the official conduct
- 10 of a Member, officer, or employee of the House shall be made
- 11 by the committee, unless approved by the affirmative vote of
- 12 a majority of the members of the Board.".
- 13 (c) Clause 1 of rule XLIV of the Rules of the House of
- 14 Representatives is amended by striking out "Committee on
- 15 Standards of Official Conduct" and by inserting in lieu
- 16 thereof "Public Review Board".
- 17 SEC. 4. EFFECTIVE DATE.
- 18 The amendments made by this resolution shall take
- 19 effect immediately prior to noon January 3, 1989.

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